

Insider Dealing and Market Manipulation (Market Abuse) CPD

Matters concerning the possessors of confidential information relating to financial instruments which are admitted for trading in a regulated market within Cyprus, or in relation to which admissions to trading has been requested from Cyprus, are regulated by the Insider Dealing and Market Manipulation (Market Abuse) Law. Regulations entitled the Market Abuse (Directive 2003/6/EC) Regulations 2005, (S.I. No. 342) came into effect on 6 July, 2005. These Regulations are intended to give effect to Directive 2003/6/EC of 28 January 2003 on insider dealing and market manipulation (market abuse) and the implementing Directives, 2003/124/EC, 2003/125/EC, 2004/72/EC.

What will be taught

- Applicability
- Inside Information
- Possessor of inside information
- Prohibition of acts by possessors of inside information
- Obligations of issuers of financial instruments
- Code of conduct of Directors and Related Persons
- Obligations of issuers – Sanctions
- Obligations of issuers – list of possessors
- Obligations of issuers – persons with managerial responsibilities
- Market manipulation
- Acceptable market practices
- Production and dissemination of research or recommendations
- Persons who professionally arrange transactions
- Civil liability
- CySEC responsibilities and powers.

Seminar Details

Dates: 7. 8 March 2016

Time: 17:00 – 20:30

Duration: 5 hours / CPD units

Venue: EIMF Premises, Nicosia

Language: English

Cost: €100 + VAT

If you would like to discuss bringing this or another topic to your organization on an in-house basis please call us at 22274470 or email us at info@eimf.eu. To check our full course calendar please visit www.eimf.eu

All participants will be awarded a certificate of attendance. Each hour of attendance will account for one unit of Continuing Professional Development (CPD) as required for members of most professional bodies.

Who should attend

Investment Services Professionals, Bankers, Legal Professionals, Accountants, Fiduciary Service providers. Also professionals that aim to obtain their CPD training, as required by CySEC and the ICPAC.

Key features

According to CySEC's requirements, persons registered in the Public Register of Certified Persons must renew their registration on a yearly basis by submitting to CySEC within two months from the end of each calendar year a statement of continued professional training on topics that fall within the existing legislation on the capital market.

Furthermore, the ICPAC has put in place Continuous Professional Development (CPD) requirements based on ACCA's CPD programme. ICPAC requires all members to undertake relevant CPD throughout their career, to ensure that they maintain and develop the knowledge, skills and expertise needed to succeed in their profession.

Trainer: Alexis Kartalis

Mr. A. Kartalis was born in Athens in 1968. He graduated from the Department of Statistics of Athens University of Economics and Business (ex A.S.O.E.E) in 1991. In 2003 he got an MBA in Finance from ESCM. He is a certified derivatives clearer.

He started his career from Citibank Athens, where he worked from 1994 till 1997. From 1997 till 2012 he worked at BNP Paribas Securities Services Athens, initially as Head of Securities Operations and then as General Manager.

From September 2013 till today he works as General Manager in GMM Global Money Managers Ltd, a Cypriot mutual funds management company.

He is married and he has two children, while he systematically practices in long distance running