
MiFID II – Buy Side

Seminar Agenda

Introduction

- Framework
- Scope and exemptions

Corporate governance

- Concept of the 'management body'
- Recording and storing communications

Conduct of Business Rules

- Inducements
- Remuneration
- Clients' interests
- All 'sufficient' steps requirement

Algorithmic trading and High Frequency Trading

- Definitions
- Registration
- System requirements

Market Structure

- Organised trading facility
- Systematic internalisers
- Capturing and using data

Transparency and reporting obligations

- Pre- and post-trade transparency requirements
- Publishing transaction reports

Commodity derivatives

- Scope
- Position reporting
- Market abuse

Third country firms

- Branches